

FORM C

**DECLARATION FORM FOR AN EXEMPTION FROM APPOINTING
A COMPLIANCE OFFICER**

TO: Managing Director/CEO
Financial Services Commission
Pasea Estate
Road Town
Tortola
BRITISH VIRGIN ISLANDS

I, (*name of person making the declaration*) being a director/senior officer of (*name of regulated person*), whose registered address is at..... hereby makes this declaration for and on behalf of the said (*name of regulated person*) as follows:

I declare that in support of the application by (*name of regulated person*) for an exemption from appointing a Compliance Officer, the said (*name of regulated person*)
is

- (a) a Class I/Class II/Restricted Class II* licensee without a physical presence in the Virgin Islands and it is regulated in (*name of the jurisdiction where its business is conducted*)/it is a part of a group of companies known as (*state name*) that is subject to regulatory supervision by (*name of supervisory body*) of..... (*name of jurisdiction*)*;
or

- (b) a Class III/restricted Class III trust* licensee with (*state number*) employees

I further declare that the information provided in this declaration is true and correct according to my knowledge and belief.

This declaration is made this day of , 20 .

.....
(*Name of declarant*)

.....
(*Signature of declarant*)

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(Address of declarant)

****Delete as necessary***